

NOMURA AMERICAS — PRIVACY POLICY FOR GREENTECH ALUMNI NETWORK

Nomura Holding America, Inc. and its subsidiaries located in the United States (collectively, “Nomura,” “we,” or “us”) value the information entrusted to us. As used in this Privacy Policy (“Policy”), the term “Nomura Greentech Alumni Website” refer to webpage at www.nomuragreentech.com/alumni

This Policy describes how we, in the United States, collect, use, and disclose Personal Information relating to individuals who have been previously employed by Nomura Greentech (“Nomura Greentech Alumni”) and have chosen to join the Greentech Alumni Network and provided information through our Nomura Greentech Alumni Website.

If you, or an organization you represent, are provided with a separate privacy policy in connection with an event or with the business or professional relationship with us, such policies will control in the event of conflict with this Policy, which applies solely to the Nomura Greentech Alumni Website and information in our database of Nomura Greentech Alumni.

By using and or registering via our Nomura Greentech Alumni Website and/or voluntarily submitting your information for addition to our database of Nomura Greentech Alumni, you are agreeing to the terms of this Policy.

Purposes of Usage and Details of the Collection and Disclosure of Personal Information:

In order to register as a Nomura Greentech Alumni and be involved in that network, we require you to provide us with certain personal information about you. By providing this information, some of which is optional as indicated by the form you complete, you consent to the use by us of that information for the purposes set out in this Policy below.

We will use this information for the following Purposes:

- to verify identities of Nomura Greentech Alumni as such
- to inform Nomura Greentech Alumni about and/or invite Nomura Greentech Alumni to sign up and/or attend relevant in person and virtual events
- to send Nomura Greentech Alumni information that may be of interest to them
- to potentially gauge Nomura Greentech Alumni’s interests in the various topics addressed in our communications or events
- In connection with events, we may use any information that Nomura Greentech Alumni choose to provide regarding dietary restrictions and requirements for physical accommodations in order to meet them
- to administer the Nomura Greentech Alumni Website and database of Nomura Greentech Alumni

We will not request from you any Sensitive Personal Information as defined in applicable privacy laws in the United States as part of your registration as a Nomura Greentech Alumni.

If you want to update or remove your information from the database of Nomura Greentech Alumni please email nomuragreentechcommunications@nomuragreentech.com or call 917 742 4991.

The specific personal information categories that we will collect will include only the following:

- **Identifiers and Personal Information (as defined in the California customer records law); Biographical Information (Including Professional/Employment-related information); and Location Information**

This category includes information such as name, personal and business contact and address information, and any professional information you choose to provide such as your employer identity and your professional title and role.

We would obtain this information directly from you when you register or amend your information via the Nomura Greentech Alumni Website, or if you contact us and ask us to amend your information.

We may disclose this information to our affiliates to facilitate the Purposes listed above. And we may disclose this information to third-party vendors that we use to facilitate our events and/or distribution of our communications.

We may also access your contact information that is in our records from your time as a Nomura Greentech employee in order to verify your identity as a Nomura Greentech Alumni (we will do this only if the policies that you accepted during your employment with Nomura Greentech permit us to do so).

- **Internet or Other Electronic Network Activity Information Including Device Information**

This category includes information such as your IP address, your history of browsing and interactions with our Nomura

Greentech Alumni Website, it may also include information regarding your interaction with our communications to you, for example whether you read or forwarded or clicked on a link in one of our emails.

This information would be obtained from your device when you browse the Nomura Greentech Alumni Website and/or interact with our communications. We would obtain this information either directly or via our third-party vendors that provide website or readership analytics services that use tools such as cookies, server logs, and pixel tags.

We may disclose this information to our affiliates to facilitate the Purposes listed above.

- **Profile Information from Inferences**

We may draw inferences or create a profile/summary of your interests based on your readership of our communications and your attendance of our events.

- **De-identified or aggregated information**

We may share, or allow third parties to create and share, de-identified and/or aggregated information from any of the categories above for any lawful purpose, including to provide reports on trends in usage of our Nomura Greentech Alumni Website, communications, and events.

Retention Period

We retain Personal Information for as long as needed or permitted in light of the purpose(s) for which it was collected. The criteria used to determine our retention periods include:

- The length of time you continue to remain registered as one of Nomura Greentech Alumni and the length of time thereafter during which we may have a legitimate need to reference your Personal Information to address issues that may arise;
- Whether there is a legal obligation to which we are subject (for example, certain laws require us to keep records of your transactions for a certain period of time before we can delete them); or
- Whether retention is advisable in light of our legal position (such as in regard to applicable statutes of limitations, litigation or regulatory investigations).

Additional Information for California Residents

Pursuant to the California Consumer Privacy Act of 2018, as amended by the California Privacy Rights Act (collectively, the “CCPA”), we are providing the following additional details regarding the Personal Information that we collect, use, and disclose about California residents. Under the CCPA, “**Personal Information**” is information that identifies, relates to, or could reasonably be linked with a particular California resident or household.

We do not “sell” or “share” your Personal Information, including your Sensitive Personal Information, as defined under the CCPA. We have not engaged in such activities in the 12 months preceding the date this Policy was last updated. Without limiting the foregoing, we do not “sell” or “share” the Personal Information, including the Sensitive Personal Information, of minors under 16 years of age.

Individual Rights and Requests

If you are a California resident, you may make the following requests:

1. You may request that we disclose to you the following information:
 - a. The categories of Personal Information we collected about you and the categories of sources from which we collected such Personal Information;
 - b. The business or commercial purpose for collecting Personal Information about you; and
 - c. The categories of Personal Information about you that we otherwise disclosed, and the categories of third parties to whom we disclosed such Personal Information (if applicable).
2. You may request to correct inaccuracies in your Personal Information.
3. You may request to have your Personal Information deleted.
4. You may request to receive the specific pieces of your Personal Information, including a copy of the Personal Information you provided to us in a portable format.

You have the right to be free from unlawful discrimination for exercising your rights under the CCPA.

To make a request, please contact us at Americasprivacy@nomura.com or (833) 770-4090. We will verify and respond to your request consistent with applicable law, taking into account the type and sensitivity of the Personal Information subject to the

request. We may need to request additional Personal Information from you, such as mailing address, telephone number and/or email address, in order to verify your identity and protect against fraudulent requests. If you maintain a password-protected account with us, we may verify your identity through our existing authentication practices for your account and require you to re-authenticate yourself before disclosing or deleting your Personal Information. If you make a deletion request, we may ask you to verify your request before we delete your Personal Information.

California law also gives residents of California the right, under certain circumstances, to request information from us regarding the manner in which we share certain categories of Personal Information with third parties for their direct marketing purposes. To request such information, please contact using the contact information provided in the “Questions and Comments” section, below.

Authorized Agents

If an agent would like to make a request on your behalf as permitted by applicable law, the agent may use the submission methods noted in the section entitled “Individual Rights and Requests.” As part of our verification process, we may request that the agent provide, as applicable, proof concerning their status as an authorized agent. In addition, we may require that you verify your identity as described in the section entitled “Individual Requests” or confirm that you provided the agent permission to submit the request.

De-Identified Information

Where we maintain or use de-identified information, we will continue to maintain and use the de-identified information only in a de-identified fashion and will not attempt to re-identify the information.

Cookies and Third-Party Analytics

Cookies are pieces of information stored directly on your computer. Cookies allow us to collect such information as browser type, time spent on the Services, pages visited, language preferences, and traffic data. We use the information for security purposes, to facilitate navigation, to display information more effectively, and to personalize your experience. We also gather statistical information about use of the Services in order to continually improve their design and functionality, understand how they are used, and assist us with resolving questions regarding them. We do not currently respond to browser do-not-track signals. If you do not want information collected through the use of cookies, most browsers allow you to automatically decline cookies or be given the choice of declining or accepting particular cookies from a particular website. You may also wish to refer to <http://www.allaboutcookies.org/manage-cookies/index.html>. If, however, you do not accept cookies, you may experience some inconvenience in your use of the Services.

We may work with certain third parties to collect Personal Information and to engage in analytics, auditing, research, and reporting. These third parties may use tracking mechanisms such as web logs and pixel tags, and they may set and access cookies on your computer or device. In particular our site uses Google Analytics to collect and analyze certain information about the website’s visitors. This service may also collect information regarding the use of other websites, apps, and online services. Information regarding Google’s practices with Google Analytics can be found in Google’s privacy policy at <http://www.google.com/policies/privacy/partners> and choices for “opt-out” opting out are described at <https://tools.google.com/dlpage/gaoptout>.

Jurisdiction and Cross-Border Transfer

We are located in the United States. We may store and process your Personal Information in any country where we have facilities or in which we engage service providers. By using our Services, you understand that your information will be transferred to countries outside of your country of residence, including the United States, which may have data protection rules that are different from those of your country.

Third-Party Services

This Policy does not address, and we are not responsible for, the privacy, information, or other practices of any third parties, including any third party operating a website or service to which the Services link. The inclusion of a link on the Services does not imply our endorsement of the linked site or service.

Children’s Privacy

Our Nomura Greentech Alumni Website and other services are not directed to individuals under the age of 13, and we do not knowingly collect Personal Information from individuals under 13.

Changes to This Policy

The “Last Updated” legend at the top of this Policy indicates when this Policy was last revised. Any changes will become effective when we post the revised Policy at www.nomuragreentech.com/alumniprivacypolicy.

Questions or Comments

If you want to update or remove your information from the database of Nomura Greentech Alumni please email nomuragreentechcommunications@nomuragreentech.com or call 917 742 4991.

If you have any questions or comments regarding our privacy practices or this Policy, please contact us by email at Americasprivacy@nomura.com or by mail at: c/o The Office of the General Counsel, Nomura Holding America Inc., 309 West 49th Street, New York, NY 10019